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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/673,139	09/30/2003	Kengo Aritomi	67161-105	3820

7590 11/01/2004  
McDermott, Will & Emery  
600 13th Street, N.W.  
Washington, DC 20005-3096

EXAMINER

LE, THONG QUOC

ART UNIT	PAPER NUMBER
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2818

DATE MAILED: 11/01/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

10/673,139

**Applicant(s)**

ARITOMI ET AL.

**Examiner**

Thong Q. Le

**Art Unit**

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-10 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-10 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some \* c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |                                                                                                                                              |                                                                                        |
|----------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                                                  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                         | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>1/22/04</u> . | 6) <input type="checkbox"/> Other: ____                                                |

**DETAILED ACTION**

1. Claims 1-10 are presented for examination.

***Information Disclosure Statement***

2. This office acknowledges receipt of the following items from the Applicant:  
Information Disclosure Statement (IDS) filed on January 22, 2004.
3. Information disclosed and list on PTO 1449 was considered.

***Priority***

4. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

***Specification***

5. The lengthy specification has not been checked to the extent necessary to determine the presence of all possible minor errors. Applicant's cooperation is requested in correcting any errors of which applicant may become aware in the specification.

***Claim Rejections - 35 USC § 102***

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application

by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

7. Claims 1-10 are rejected under 35 U.S.C. 102(e) as being anticipated by Matsumoto et al. (U.S. Patent No. 6,765,838).

Regarding claim 1, Matsumoto et al. disclose a semiconductor memory device (Figure 1) comprising:

a plurality of memory cells (1), arranged in rows and columns, each for storing information;

a first refresh timer (Figure 2, 8) for issuing a first refresh request (Column 4, lines 17, PHY) at a first period;

a first refresh address generation circuit (Figure 2, 9) for generating and outputting a first refresh address in accordance with said first refresh request;

a second refresh timer (Figure 2, 20) for issuing a second refresh request (Figure 2, RFACT) at a period shorter than the first period (Column 2, lines 3-9, Column 4, lines 43-51);

a second refresh address generation circuit (Figure 1, 11) for generating a second refresh address independently of the first refresh address (Column 3, lines 55-64, Column 10, lines 18-50, Column 4, lines 24-32) ; and

a plurality of row select circuits (2) , provided corresponding to memory cell rows, each for driving row corresponding row to a selected state in accordance with a received address signal, each row select circuit driving an addressed row to a selected state in accordance with one of said first refresh address and said second refresh address, and a response relation to the first and second refresh addresses in each row select circuit being alternatively set (Column 3, lines 12-20, lines 50-65).

Regarding claims 2-10, Matsumoto et al. disclose a first address select circuit for selecting said first refresh address in accordance with said first refresh request, to supply a selected first refresh address to the row select circuits (Figure 2, QAD<11:0>; and a second address select circuit for selecting said second refresh address in accordance with said second refresh request (Figure 2, QAD<11>, to supply a selected second refresh address to the row select circuits, and wherein said second refresh address generation circuit includes a count circuit performing a counting operation in accordance with said second refresh request ((Figure 1, 9), to generate said second refresh address, and a row drive circuit (Figure 1, 10) for driving a corresponding memory cell row to a selected state in accordance with output signals of the first and second decode circuits; and a program circuit (4) for setting either one of said first and second decode circuits in an operable state, and wherein said plurality of memory cells are divided into a plurality of memory blocks each including a plurality of memory cells

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arranged in rows and columns (Figure 9), and the first and second refresh addresses each includes a block address designating a memory block (Figure 9), and further comprising: a conflict avoiding circuit for preventing a conflict in issuance timing between the first and second refresh requests (Figure 1, 11, Column 17-22).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thong Q. Le whose telephone number is 571-272-1783. The examiner can normally be reached on 8:00am-5:00pm M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David C. Nelms can be reached on 571-272-1787. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Thong Q. Le  
Primary Examiner  
Art Unit 2818

**THONG LEI.**  
**PRIMARY EXAMINER**